

10/589190

IAP6 Rec'd PCT/PTO 11 AUG 2006

Docket No. 294259US40PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazuhiko MINAMI, et al.

SERIAL NO: New US PCT Application Based on PCT/JP05/02531

GAU:

FILED: Herewith

EXAMINER:

FOR: TUBE FOR USE IN HEAT EXCHANGER, METHOD FOR MANUFACTURING SAID TUBE, AND HEAT EXCHANGER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 294259US40PCT		SERIAL NO. New US PCT Application Based on PCT/JP05/02531	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kazuhiko MINAMI, et al.		② 1589190	
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
AA							
AB							
AC							
AD							
AE							
AF							
AG							
AH							
AI							
AJ							
AK							
AL							
AM							
AN							
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
AO	11 019796	01/26/1999	JP (with English abstract)				NO
AP	09 296263	11/18/1997	JP (with English abstract)				NO
AQ	10 062095	03/06/1998	JP (with English abstract)				NO
AR	2003 003248	01/08/2003	JP (with English abstract)				NO
AS	01 191770	08/01/1989	JP (with English abstract)				NO
AT	62 149887	07/03/1987	JP (with English abstract)				NO
AU	03 122240	05/24/1991	JP (with English abstract)				NO
AV	2000 119784	04/25/2000	JP (with English abstract)				NO
AW	10 265881	10/06/1998	JP (with English abstract)				NO
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AX						
	AY				<input type="checkbox"/> Additional References sheet(s) attached		
Examiner					Date Considered		

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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New U.S. PCT Application Based on PCT/JP05/02531

Kazuhiko MINAMI, et al.

Docket No. 294259 US

STATEMENT OF RELEVANCY

- 1) References AO-AT have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References [REDACTED] have been cited in the corresponding [REDACTED] Search Report. A copy of these references is being submitted herewith.
- 3) References AU-AW are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References [REDACTED] are additional prior art known to Applicant. A copy of these references is being submitted herewith.